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"Assistant Commissioner for Patents,  
Washington, D.C. 20231"

on January 31, 2002

RONALD A. KOATZ  
Reg. No. 31,774  
Attorney for Applicant(s)

01/31/02  
Date of  
Signature

**PATENT**

UNUS #Y2-R352-EDG  
Docket #J6662(C)

Receipt #4

COPY OF PAPERSEPS  
ORIGINALLY FILED  
On Officially Filed

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Customer No.: 000201

Applicant: Hua et al.

Serial No.: 09/884,388

Filed: June 19, 2001

For: MICROEMULSION FACIAL WASHES COMPRISING SPECIFIC OILS

Group No.: 1615  
Edgewater, New Jersey 07020  
January 31, 2002

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants' attorney has received a copy of the Official Filing Receipt for the above-identified application and has noted the following errors:

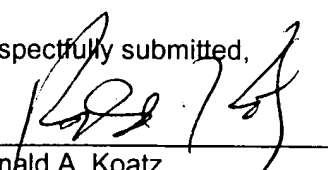
Please amend the inventor's information to read as follows:

--Zhenhe Zhu, Peekskill, NY (DECEASED)--.

In the event there is a fee for this error, please charge our Deposit Account 12-1155.

Applicants look forward to receiving the corrected Filing Receipt in due course.

Respectfully submitted,

  
\_\_\_\_\_  
Ronald A. Koatz  
Registration No. 31,774  
Attorney for Applicant(s)

RAK/lae  
(201) 840-2912



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C. 11/11/01

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/884,388	06/19/2001	1615	710	J6662(C)		8	1

000201  
UNILEVER  
PATENT DEPARTMENT  
45 RIVER ROAD  
EDGEWATER, NJ 07020

CONFIRMATION NO. 3575

FILING RECEIPT



\*OC000000006415812\*

Date Mailed: 08/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Xi Yuan Hua, Peekskill, NY;  
Leonard Van Gorkom, Englewood, NJ;  
Michael Paul Aronson, West Nyack, NY;  
Zhenhe Zhu, Peekskill, NY; (Deceased)

Assignment For Published Patent Application

Unilever Home & Personal Care USA, Division of Conopco, Inc.;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/11/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Microemulsion facial washes comprising specific oils



**Preliminary Class**

424

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**Data entry by : GEREMEW, FIKIRTE**

**Team : OIPE**

**Date: 08/13/2001**



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

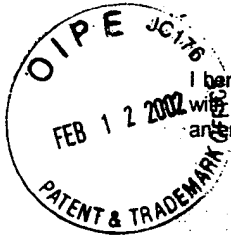
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Office of Initial Patent Examination  
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Washington, DC 20231



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"Assistant Commissioner for Patents,  
Washington, D.C. 20231"

on January 31, 2002

RONALD A. KOATZ  
Reg. No. 31,774  
Attorney for Applicant(s)

01/31/02  
Date of  
Signature

**PATENT**

UNUS #Y2-R352-EDG  
Docket #J6662(C)

**COPY OF PAPERS  
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*C. Smith*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Customer No.: 000201

Applicant: Hua et al.

Serial No.: 09/884,388

Filed: June 19, 2001

For: MICROEMULSION FACIAL WASHES COMPRISING SPECIFIC OILS

Group No.: 1615

Edgewater, New Jersey 07020

January 31, 2002

**SUBMISSION OF MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "Notice of Missing Parts of Application" (copy enclosed) mailed August 13, 2001, from the Patent and Trademark Office, enclosed is a Declaration signed by the inventors.

Please deduct the \$130.00 surcharge from Deposit Account 12-1155. Any deficiency or overpayment should be charged or credited to this Deposit Account. This authorization is submitted in triplicate.

Respectfully Submitted,

*Ronald A. Koatz*  
\_\_\_\_\_  
Ronald A. Koatz  
Registration No. 31,774  
Attorney for Applicant(s)

RAK/lae  
Enclosure(s)



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/884,388	06/19/2001	Xi Yuan Hua	J6662(C)

000201  
UNILEVER  
PATENT DEPARTMENT  
45 RIVER ROAD  
EDGEWATER, NJ 07020

CONFIRMATION NO. 3575

## FORMALITIES LETTER



\*OC000000006415813\*

Date Mailed: 08/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/19/2002 SDIRETA1 00000002 121155 09884388

01 FC:105 130.00 CH

JAN 3 '02  
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Patent Department  
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PATENT & TRADEMARK OFFICE

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